



ASSOCIATION OF MUTUAL FUNDS IN INDIA

06-Jul-2023

HAPPY INVESTOR FINSERV LLP
276 1St Floor 67Th Cross
5Th Block Rajajinagar
Bangalore- 560010

Mobile: «+919900445210

Dear Sir/Madam,

Sub: Renewal of AMFI Registration

With reference to your application for renewal of your AMFI Registration, we are pleased to inform you that the same has been renewed as per details given below :

Name: HAPPY INVESTOR FINSERV LLP
AMFI Registration Number : ARN-174074
Validity period from: 30-Dec-2023 to 29-Dec-2026

Please ensure that the ARN is renewed well before expiry of validity period so as to ensure that your firm is eligible to continue to promote / distribute mutual fund products and receive commissions from the asset management companies without any break.

As you are aware, before being engaged in selling and marketing mutual fund products, your employees are required to (a) pass 'NISM Series V-A : Mutual Fund Distributors Certification Examination' and (b) register with AMFI and have obtain Employee Unique Identification Number (EUIN), under the abovementioned ARN of your firm, in compliance with the SEBI regulatory guidelines. They too are required to renew their EUIN before the expiry of validity period thereof, for which they need to either clear the 'NISM Series V-A : Mutual Fund Distributors Certification Examination' or attend the 'NISM Series V-A : MFD Continuing Professional Education (CPE) program' of NISM. Further all such employees need to mention/print their EUIN on the mutual fund application forms for the business canvassed by the respective employees, alongside the ARN of your firm.

You are also advised to ensure strict compliance with AMFI Code of Conduct for Intermediaries of Mutual Funds, which is available on our website (www.amfiindia.com) by your organization as well as all your employees engaged in selling and promoting / distribution of mutual fund products.

With regards,
For **Association of Mutual Funds in India**,


Dy. Chief Executive